IN THE UNITED STATES PARTITION TRADEMARK OFFICE

IN RE APPLICATION OF:

Ramon MERCE VIDAL, et al.

SERIAL NO:

10/566,164

GAU:

FILED:

January 27, 2006

EXAMINER:

FOR:

INDOL-4 SULFONAMIDE DERIVATIVES, THEIR PREPARATION AND THEIR USE 5-HT-6 AS

MODULATORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

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CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Roland E. Martin Registration No. 48,082

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Form PTO 1449	-	U.S. DEPARTMENT	OF COMMERCE	ATTY DOCKET NO.		SERIAL NO.			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
/SY/	AA	US 2005/0065202	03-24-05	VIDAL ET AL.					
/SY/	AB	US 2003/0191124	10-09-03	MERCE-VIDAL ET AL.					
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LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 Docket No.: 284147US0PCT

Serial No.: 10/566,164

Inventor: Ramon MERCE VIDAL, et al.

Filing Date: January 27, 2006

Group:

LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. <u>Publication No.</u>	Inventor or Applicant
/SY/	284057US0PCT	10/566,094	01/27/06		MERCE VIDAL, et al.
/SY/	283723US0PCT	10/566,403	01/30/06		MERCE VIDAL, et al.
/SY/	284024US0PCT	10/566,101	01/27/06		MERCE VIDAL, et al.
/SY/	284147US0PCT*	10/566,164	01/27/06		MERCE VIDAL, et al.
/SY/	283628US0PCT	10/566,100	01/27/06		TORRENS JOVER, et al.
/SY/	283625US0PCT	10/566,402	01/30/06		TORRENS JOVER, et al.
/SY/	PER CLIENT	10/841,066	05/07/04	US2005/0065202 A1	VIDAL, et al.
/SY/	PER CLIENT	10/293,206	11/13/02	US2003/0191124 A1	MERCE- VIDAL, et al.
/SY/	PER CLIENT	10/933,951	09/03/04	US2005/0032791 A1	MERCE- VIDAL, et al.

Examiner /Shawquia Young/

Date Considered

02/26/2009

*Present Application; listed for information NFO/sbc/kch



Docket No.

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Vaul Elmbach

Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D. Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

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U.S. PCT Application Serial No. 200366,164

Filed: January 27, 2006

Ramon MERCE VIDAL, et al.

Docket No. 284147 US

STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References AO & AP have been cited in the corresponding Spanish Search Report. A copy of these references is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Docket No.

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Norman F. Oblon

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

James H. Knebel Registration No. 22,630

	Docket No.: 284147US0PCT	Serial No.: 10/566,164
	Inventor: Ramon MERCE VIDAL	L, et al.
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Filing Date: January 27, 2006	Group:
	DEDELATED CASES	

LIST OF RELATED CASES

Examiner Initial /SY/	Docket No.	Serial or <u>Patent</u> <u>Number</u>	Filing or Issue Date	Patent App. Publication No.	Inventor or Applicant
1911	303221US0CONT	11/679,344	02/27/07		MERCE VIDAL
/SY/	302651US0CONT	11/673,328	02/09/07		MERCE VIDAL, et al.
/SY/	284147US0PCT*	10/566,164	01/27/06		MERCE- VIDAL, et al.

/Shawquia Young/ Date Considered Examiner

02/26/2009

^{*}Present Application; listed for information NFO/ach

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(Modified) PATENT AND TRADEMARK OFFICE				284147US0PCT 10/566,164					
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LIST OF	REFE	RENCES CITED BY AF	PLICANT	Ramon MERCE VIDAL, et al.					
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A	AC	Bourson, Anne, et al., "Involvement (1998) 125, pp. 1562-1566.	of 5-HT ₈ receptors in nigro-striatal function	in rodents", British Journal of Pharmacology
A	AD	Rogers, D.C., et al., "Cognitive enhant 1999, 127, 22p.	ncement effects of the selective 5-HT ₆ antag	gonist SB-271046", Br. J. Pharmacol. Suppl.,
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Α	ΑН	Routledge, Carol, et al., "Characteriz British Journal of Pharmacology (200	ation of SB-271046: a potent, selective and 00) Vol. 130, pp. 1606-1612.	orally active 5-HT ₆ receptor antagonist [*] ,
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A	ΑО	Munson, P., et al., "LIGAND: a versa: Biochemistry, 1980, 107, pp. 220-239	tile computerized approach for characteriza 3.	tion of ligand-binding systems", Analytical
A	AP		₅ receptor antagonist CGP71683A: the effer al of Pharmacology 414, 2001, pp. 215-224.	
A	AQ	Churchill, et al., "Pharmaceutics: The	Science of Dosage Forms" Second Edition	, 2002.
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/SY/	AAA	Swarbrick, et al., "Encyclopedia of P	harmaceutical Technology, second edition, 2002.	
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/SY/	AAE	Bruck, D. Stephen, Ph.D., "Controlled	d Drug Delivery* Basic Concepts, Vol. 1, 1983.	
/SY/	AAF	Mathiowitz, E. (Ed.) John Wiley & Sor Controlled Drug Delivery, 1999, Vol. 2	ns, Inc. New York, "Oral drug delivery, small intes 2, pp. 698-728.	tine and colon", Encyclopedia of
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/SY/	AU	HT6 RECEPTORS IN RAT, PION 1605.	3 AND HUMAN BR	AIN TISSUE", British Jo	ournal of Pha	rmacology	(2000) 13	v, pages 1
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/SY/	AY	THEODORA W. GREENE, et al Interscience Publication JOHN	WILEY & SONS, IN	C., NEW YORK/ CHICH	SYNTHESIS HESTER/ BR	", Second E ISBANE/ T	Edition, A ORONTO	Wiley-)/ SINGAP
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SERIAL NO:

New U.S PCT Application Based on PCT/EP04/08512

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- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Customer Number

ZZOOU Tel. (703) 413-3000 Fax. (703) 413-2220

(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

MALA HEC O ACHEMOT S & 19W 5000

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LIST OF	REFER	RENCES CITED BY AF	PLICANT	Ramon MERCE VIDAL, et al.		·			
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